

## CRMA REFERENCE LIST

Title	Author	Year Published	URL
<b>Hardcopy References</b>			
Auditor's Risk Management Guide: Integrating Auditing and ERM	Paul J. Sobel, CIA	2013	<a href="http://www.theiia.org/bookstore/product/auditors-risk-management-guide-integrating-auditing-and-erm-2013-1426.cfm">http://www.theiia.org/bookstore/product/auditors-risk-management-guide-integrating-auditing-and-erm-2013-1426.cfm</a>
Improving Board Risk Oversight Through Best Practices	Paul L. Walker, Ph.D., CPA William G. Shenkir, Ph.D., CPA Thomas L. Barton, Ph.D., CPA	2011	<a href="http://www.theiia.org/bookstore/product/improving-board-risk-oversight-through-best-practices-1702.cfm">http://www.theiia.org/bookstore/product/improving-board-risk-oversight-through-best-practices-1702.cfm</a>
COSO - Enterprise Risk Management Integrated Framework	Committee of Sponsoring Organizations of the Treadway Commission	2004	<a href="http://www.theiia.org/bookstore/product/enterprise-risk-management-integrated-framework-1178.cfm">http://www.theiia.org/bookstore/product/enterprise-risk-management-integrated-framework-1178.cfm</a>
COSO Enterprise Risk Management: Establishing Effective Governance, Risk, and Compliance Processes, 2nd Edition	Robert R. Moeller	2011	<a href="http://www.theiia.org/bookstore/product/coso-enterprise-risk-management-establishing-effective-governance-risk-and-compliance-processes-2nd-edition-1591.cfm">http://www.theiia.org/bookstore/product/coso-enterprise-risk-management-establishing-effective-governance-risk-and-compliance-processes-2nd-edition-1591.cfm</a>
Enterprise Risk Management: Today's Leading Research & Best Practices for Tomorrow's Executives by John Fraser and John Shortreed	John Fraser and Betty Simkins	2010	<a href="http://www.theiia.org/bookstore/product/enterprise-risk-management-todays-leading-research-and-best-practices-for-tomorrows-executives-1517.cfm">http://www.theiia.org/bookstore/product/enterprise-risk-management-todays-leading-research-and-best-practices-for-tomorrows-executives-1517.cfm</a>
Internal Auditing's Role in Risk Management	Paul J. Sobel, CIA	2011	<a href="http://www.theiia.org/bookstore/product/internal-auditing-role-in-risk-management-1561.cfm">http://www.theiia.org/bookstore/product/internal-auditing-role-in-risk-management-1561.cfm</a> (downloadable PDF)
Sawyer's Internal Auditing, 5th edition	L.B. Sawyer		
Sawyer's Internal Auditing, 6th edition	L.B. Sawyer	2012	<a href="http://www.theiia.org/bookstore/product/sawyers-internal-auditing-6th-edition-1597.cfm">http://www.theiia.org/bookstore/product/sawyers-internal-auditing-6th-edition-1597.cfm</a>
International Professional Practices Framework (IPPF)	The Institute of Internal Auditors, Inc.	2013	<a href="http://www.theiia.org/bookstore/product/international-professional-practice-framework-2011-1533.cfm">http://www.theiia.org/bookstore/product/international-professional-practice-framework-2011-1533.cfm</a>
<b>Electronic References</b>			
Risk Management & Internal Audit: Forging a Collaborative Alliance			<a href="https://global.theiia.org/standards-guidance/Public%20Documents/RIMS%20and%20The%20IIA%20Executive%20Report%20Forging%20a%20Collaborative%20Alliance.pdf">https://global.theiia.org/standards-guidance/Public%20Documents/RIMS%20and%20The%20IIA%20Executive%20Report%20Forging%20a%20Collaborative%20Alliance.pdf</a>
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PG: Coordinating Risk Management & Assurance		2012	<a href="https://global.theiia.org/standards-guidance/recommended-guidance/practice-guides/Pages/Coordinating-Risk-Management-and-Assurance-Practice-Guide.aspx">https://global.theiia.org/standards-guidance/recommended-guidance/practice-guides/Pages/Coordinating-Risk-Management-and-Assurance-Practice-Guide.aspx</a>
PG: Assessing the Adequacy of Risk Management: Using ISO 31000		2010	<a href="https://global.theiia.org/standards-guidance/recommended-guidance/practice-guides/Pages/Assessing-the-Adequacy-of-Risk-Management-Practice-Guide.aspx">https://global.theiia.org/standards-guidance/recommended-guidance/practice-guides/Pages/Assessing-the-Adequacy-of-Risk-Management-Practice-Guide.aspx</a>
IIA Position Paper –The Role of Internal Auditing in Enterprise-wide Risk Management			<a href="https://global.theiia.org/standards-guidance/recommended-guidance/pages/position-papers.aspx">https://global.theiia.org/standards-guidance/recommended-guidance/pages/position-papers.aspx</a>
<b>Related Practice Advisories:</b> 2010-1: Linking the Audit Plan to Risk and Exposures 2010-2: Using the Risk Management Process in Internal Audit Planning 2020-1: Communication and Approval 2050-2: Assurance Maps 2060-1: Reporting to Senior Management and the Board 2120-1: Assessing the Adequacy of Risk Management Processes 2120-2: Managing the Risk of the Internal Audit Activity 2120-3: Internal Audit Coverage of Risks to Achieving Strategic Objectives 2130-1: Assessing the Adequacy of Control Processes			<a href="https://global.theiia.org/standards-guidance/recommended-guidance/Pages/Practice-Advisories.aspx">https://global.theiia.org/standards-guidance/recommended-guidance/Pages/Practice-Advisories.aspx</a>